



0300 3.

UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 12688US01)

In the application of:

DAVID A. RIEGER

Serial No.: 09/752,490

Filed: December 28, 2000

For: SECURITY INQUIRY
MANAGEMENT TECHNIQUES

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, D.C. 20231 on March 5, 2001.

By: Ronald E. Larson
Ronald E. Larson
Reg. No. 24,478

**TRANSMITTAL OF
INFORMATION DISCLOSURE STATEMENT
AFTER APPLICATION FILING DATE**

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

ENCLOSURES

Enclosed are the following:

A completed PTO form 1449 which has 1 page.

A copy of each printed or patented reference listed in the enclosed form 1449 and not previously provided to or by the PTO in this application. Nine references are enclosed.

A Information Disclosure Statement describing the reference listed in the enclosed Form 1449.

FEE DETERMINATION

No fee is believed to be due because this statement and enclosures are being filed prior to receipt of the first Official Action reflecting an examination on the merits.

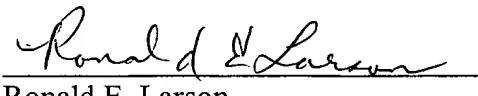
The Commissioner is hereby authorized to charge any additional fees which are presently required, or credit any overpayment, to Deposit Account No. 13-0017. A duplicate copy of this document is enclosed

REQUEST FOR CONSIDERATION

This paper and enclosures are believed to be entitled to consideration under 37 C.F.R. § 1.97, based on the facts stated above. The Examiner is required to initial both copies of the enclosed PTO-1449 (modified) and return one copy to the applicant(s) to indicate consideration of the enclosed references.

Respectfully submitted,

March 5, 2001
DATE



Ronald E. Larson
Reg. No. 24,478
Attorney for applicant(s)

McAndrews, Held & Malloy, Ltd.
500 West Madison Street, 34th Floor
Chicago, IL 60661
(312) 775-8000 (phone)
(312) 775-8100 (fax)



UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 12688US01)

In the Application of:

DAVID A. RIEGER

Serial No.: 09/752,490

Filed: December 28, 2000

For: SECURITY INQUIRY
MANAGEMENT TECHNIQUES

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, D.C. 20231 on March 5, 2001.

By: Ronald E. Larson
Ronald E. Larson
Reg. No. 24,478

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington DC 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, it is respectfully requested that the following references be considered in the examination of the above-identified patent application:

US PATENT NO.	NAME	DATE
6,035,286	Fried	03/07/00
5,132,899	Fox	7/21/92
5,761,442	Barr et al.	6/02/98
5,784,696	Meinikoff	7/21/98
5,819,238	Fernholz	10/06/98
5,884,287	Edesess	03/16/99
5,930,774	Chennault	7/27/99
5,978,778	O'Shaughnessy	11/02/99
6,035,286	Fried	03/07/00
6,161,099	Harrington, et al.	12/12/2000

The above-identified reference is listed on the attached PTO Form 1449, and a copy is also enclosed herewith.

This submission is in no way intended as an admission that the above-cited references constitute prior art under any subsection of 35 U.S.C. § 102. Applicant expressly retains the right to take any actions necessary to remove the above-cited references from the available prior art.

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this First Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Account No. 13-0017.

Respectfully submitted,


Ronald E. Larson
Registration No. 24,478
Attorney for Applicant

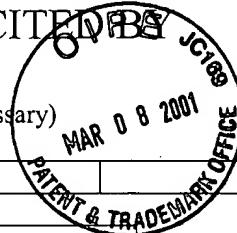
March 5, 2001
Date

MCANDREWS, HELD & MALLOY, LTD.
500 W. Madison, 34th Floor
Chicago, IL 60661
Telephone: (312) 775-8000
Facsimile: (312) 775-8100

Please type a plus sign (+) inside this box →

Patent and Trademark Office:U.S.DEPARTMENT OF COMMERCE

1449A/PTO Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office		Complete if Known	
LIST OF PRIOR ART CITED BY APPLICANT (use as many sheets as necessary)				
Sheet 1	Of 1		Application Number	09/752,490
			Filing Date	12/28/2000
			First Named Inventor	David A. Rieger
			Group Art Unit	2161
			Examiner Name	
			Attorney Docket Number	12688US01



Examiner Initials*	U.S. Patent Document			Date of Publication of Cited Document MM-DD-YYYY
	Cite No. ¹	Number	Kind Code ² (if known)	
A1	5,132,899			Fox
A2	5,761,442			Barr et al.
A3	5,784,696			Meinikoff
A4	5,819,238			Fernholz
A5	5,884,287			Edesess
A6	5,930,774			Chennault
A7	5,978,778			O'Shaughnessy
A8	6,035,286			Fried
A9	6,161,099			Harrington, et al.

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Office ³	Foreign Patent Document		Date of Publication of Cited Document MM-DD-YYYY
			Number ⁴	Kind Code ⁵ (if known)	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, country, where published, source.		T ²
	1			
	2			

Examiner Signature	Date Considered
--------------------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation not in conformance and not considered. Include copy of this form with next communication to applicant.